

# ANNEXURE I

**Format to be submitted by listed entity on quarterly basis**

1. Name of Listed Entity      **- Punjab National Bank**
2. Quarter ending                **- 30-Sep-2019**

## i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/Non- Executive/ Independent/ Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Mr	Sunil Mehta	07430460	ACLPM2877Q	ED		05-May-2017	05-May-2017	30-Sep-2019			3	0	0	0	RMC	Managing Director and CEO
Mr	Rajesh Kumar Yaduvanshi	07206654	AAAPY2605H	ED		15-Apr-2019	15-Apr-2019				1	0	0	0	RMC	
Mr	Lingam Venkata Prabhakar	08110715	AALPL5891R	ED		01-Mar-2018	01-Mar-2018				2	0	2	0	AC,SC,RMC	

Mr .	Agyey Kumar Azad	99999999	ABEPA0703B	ED		22-Jan-2019	22-Jan-2019				1	0	1	0	SC,RMC	DIN not available
Mr .	PANKAJ JAIN	00675922	ABPPJ3009G	NED,ND		08-Aug-2019	08-Aug-2019			28-Dec-1965	2	0	2	0	AC	
Mr .	VIVEK AGGARWAL	99999999	AACPA8516D	NED,ND		24-Jul-2019	24-Jul-2019			07-Aug-1963	1	0	1	0	AC	DIN not available
Mr .	Sunil Mehta	00065343	AEBPM7132A	C & NED		16-Mar-2017	16-Mar-2017		36	22-Aug-1957	3	3	1	1	RMC,NRC	Chairman
Mr .	Sanjay Verma	99999999	ABSPV5115C	ID		15-Jun-2017	15-Jun-2017		36	17-Aug-1968	1	1	1	1	AC,NRC	DIN not available
Ms .	Asha Bhandarker	99999999	ADSPB7584M	ID		12-Sep-2018	12-Sep-2018		36	12-Mar-1959	1	1	1	1	SC,RMC,NRC	DIN not available

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

## ii. Composition of Committees

### a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Lingam Venkata Prabhakar	ED	Member	01-Mar-2018	
2	PANKAJ JAIN	NED,ND	Member	08-Aug-2019	
3	VIVEK AGGARWAL	NED,ND	Member	24-Jul-2019	
4	Sanjay Verma	ID	Chairperson	15-Jun-2017	

Company Remarks	
Whether Permanent chairperson appointed	Yes

### b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Lingam Venkata Prabhakar	ED	Member	01-Mar-2018	
2	Agyey Kumar Azad	ED	Member	22-Jan-2019	
3	Asha Bhandarker	ID	Chairperson	12-Sep-2018	

Company Remarks	
Whether Permanent chairperson appointed	Yes

### c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Sunil Mehta	C & NED	Member	05-May-2017	30-Sep-2019
2	Rajesh Kumar Yaduvanshi	ED	Member	15-Apr-2019	
3	Lingam Venkata Prabhakar	ED	Member	01-Mar-2018	
4	Agyey Kumar Azad	ED	Member	22-Jan-2019	
5	Asha Bhandarker	ID	Member	12-Sep-2018	
6	Sunil Mehta	C & NED	Chairperson	16-Mar-2017	

Company Remarks	
Whether Permanent chairperson appointed	Yes

### d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Sunil Mehta	C & NED	Member	16-Mar-2017	
2	Sanjay Verma	ID	Member	15-Jun-2017	
3	Asha Bhandarker	ID	Chairperson	12-Sep-2018	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. **Meeting of Board of Directors**

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
12-Apr-2019	25-Jul-2019	Yes	8	3
26-Apr-2019	26-Jul-2019	Yes	7	3
27-Apr-2019	28-Aug-2019	Yes	8	3
27-May-2019	05-Sep-2019	Yes	9	3
28-May-2019	26-Sep-2019	Yes	9	3
19-Jun-2019				

Company Remarks	
Maximum gap between any two consecutive (in number of days)	35

iv. **Meeting of Committees**

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	27-May-2019	25-Jul-2019	Yes	3	1
Audit Committee	28-May-2019	26-Jul-2019	Yes	3	1
Audit Committee	19-Jun-2019	28-Aug-2019	Yes	3	1
Audit Committee		25-Sep-2019	Yes	3	1
Risk Management Committee	18-Jun-2019	27-Aug-2019	Yes	6	1
Stakeholders Relationship Committee	13-May-2019				

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	35

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Not Applicable	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions		

## VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **Yes**
  - b. Nomination & remuneration committee - **Yes**
  - c. Stakeholders relationship committee - **Yes**
  - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**  
b. Any comments/observations/advice of Board of Directors may be mentioned here:

**Public sector banks are not companies but body corporate constituted under Banking Companies (Acquisition & Transfer of undertakings) Act 1970/1980 and are subject to exemptions under Regulations 15(2)(b) of the SEBI (LODR) Regulations 2015.**

**Name :** Balbir Singh  
**Designation :** Company Secretary & Compliance Officer

## ANNEXURE III

### Affirmations

<b>Broad Heading</b>	<b>Regulation Number</b>	<b>Compliance Status</b>	<b>Company Remark</b>
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

**Name :** Balbir Singh  
**Designation :** Company Secretary & Compliance Officer