

7. SELECTION OF PENSION FUND (PF) AND INVESTMENT CHOICE* (Refer Sr no. 5 of the instructions)

1. The maximum permitted Equity Investment is 75% of the total asset allocation.
2. All Citizen : Selection of one PF is mandatory else form will be rejected. If no investment choice is selected, funds will be invested in Auto Choice (LC 50).
3. Corporate Model : The PF / Investment Choice may be exercised in consultation with your Employer.

Pension Fund* (Please Tick (√) one)		Investment Choice (Please Tick (√) one)				
☐ Aditya Birla Sunlife Pension Mgmt Ltd	☐ Axis Pension Fund Management Limited	☐ Active Choice mention the % share in applicable asset class below				
☐ HDFC Pension Mgmt Co Ltd	☐ ICICI Prudential Pension Funds Mgmt Co Ltd	E (upto 75%)	C (Upto 100%)	G (Upto 100%)	A (Upto 5%)	Total
☐ Kotak Mahindra Pension Fund Ltd	☐ LIC Pension Fund Limited	% Equity	% Corp Bonds	% Govt. Sec.	% Alt. Assets	100%
☐ Max Life Pension Fund Mgmt Ltd	☐ SBI Pension Funds Private Limited	OR				
☐ TATA Pension Mgmt Ltd	☐ UTI Retirement Solutions Limited	☐ Auto Choice Select one life cycle fund below				
		Conservative (LC25)	☐	Moderate (LC50)	☐	Aggressive (LC75) ☐

8. Activate my Tier-II account (please tick (√) to activate) - (Refer Sr. no. 7 of instructions)

Providing PAN is mandatory

- ☐ With the same bank, nominee & investment details ☐ With different bank/nominee/investment details as per Annexure IV

9. FATCA* (Foreign Account Tax Compliance Act) & CRS DECLARATION (Refer Sr no. 6 of the instructions):

- ☐ I am a tax resident of India and not resident of any other country ☐ I am a tax resident of the country/ies mentioned below
US Person Yes ☐ No. ☐

Particulars	Country (1)	Country (2)	Country (3)
Country/countries of Tax Residency			
Address in the jurisdiction for Tax Residence	Address Line 1		
	City/Town/Village		
	State		
	ZIP/Post Code		
Tax Identification Number (TIN)/Functional equivalent Number			
TIN/ Functional equivalent Number Issuing Country			
Validity of documentary evidence provided (Wherever applicable)	ddmmyyyy	ddmmyyyy	ddmmyyyy

I have understood the information requirement of the Form (read along with the FATCA / CRS Instructions and Terms & Conditions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete and hereby accept the same.

Signature / Thumb Impression* of Applicant
(refer instructions)

10. DECLARATION BY APPLICANT* (Refer Sr no. 8 of the instructions)

I have read and understood the terms and condition sof the National Pension System. The information and documents furnished by me are true and correct, to the best of my knowledge. Any changes in the information furnished by me shall be informed to CRA / NPS Trust. I do not hold any pre-existing account under NPS. I understand that I shall be fully liable for submission of any false or incorrect information or documents.

Declaration under the Prevention of Money Laundering Act, 2002

I here by declare that the contribution paid by me/on my behalf has been derived from legally declared and assessed sources of income. I understand that NPS Trust has the right to peruse my financial profile or share the information, with other government authorities. I further agree that NPS Trust has the right to close my PRAN in case I am found violating the provisions of any law relating to prevention of money laundering.

Date: Place:

Signature / Thumb Impression* of Applicant
(*LTI in case of males and RTI in case of females to be provided. Toe impression in case no hands)

11. DECLARATION BY EMPLOYER (All Details are Mandatory)

Date of Retirement		
Employee Code/ID		Non-mandatory if not available
CHO Registration Number		CBO Registration Number
It is certified that _____ is employed with us and the details provided in this subscriber registration form including the address and employment details provided above are as per the service record of the employee maintained with us. It is further certified that he/she has read entries/entries have been read over to him/herby her by us and got confirmed by him/her.		
Name of the Authorised Person		Signature of Authorised person Rubber stamp of the Employer
Designation of the Authorised Person		
Date		
Place		

12. TO BE FILLED BY POP

Receipt No. (17 digits)		
POP Registration Number		
Documents Received:		
Existing Customer: I/ we hereby certify/confirm that Shri/Smt/Kum.....is an existing KYC verified customer. The above applicant is having an operative Bank/Demat/Folio/.....account (specify nature of the account) having account number/client ID.....maintained atbranch/office. The KYC documents available with us for this customer/client matches the requirement for opening NPS account and are in compliance with PMLA Rules. I/We further confirm that the Savings Bank a/c of Sh/Smt/Kum.....is not a 'Basic Savings Bank Deposit Account (applicable in case of Bank PoP)		
Name of the Authorised Person		Signature of Authorised person Rubber stamp of the Pop
Designation of the Authorised Person		
Date		
Place		

ACKNOWLEDGEMENT

Name of the Subscriber		Stamp and Signature of PoP
Application Receipt Date:		
Initial contribution amount		
Mode of payment	☐ Check/ DD ☐ Debit Instruction ☐ Cash	

Instructions for filling the subscriber registration form

General guidelines

- (a) Please fill in legible handwriting to avoid errors. Do not overwrite. Corrections should be countersigned by the applicant. Applications incomplete in any aspect (or) if mandatory fields are left blank (or) with unclear photograph (or) not accompanied by required documents (or) not authenticated by PoP/PoP-SP are liable to be rejected.
- (b) Copies of documents submitted by the applicant should be self-attested.
- (c) Applicant is advised to retain the acknowledgement slip signed / stamped by the PoP/PoP-SP office.

SI	Item No	Item Details	Instructions		
1	1	Option for PRAN Card and kit	In case a subscriber opts not to have a physical PRAN Card or Welcome Kit, reduced account opening charges of CRA are applicable as under :		
			Account opening with Physical PRAN card in (Rs.)	Account opening with ePRAN card (in Rs.)	
				Welcome kit in hardcopy	
				eWelcome kit (Email)	
			₹ 40.00	₹ 35.00	₹ 18.00
			In case, subscriber has not selected any option (for PRAN card & kit) and Email ID is provided, ePRAN & eWelcome kit will be sent. If Email ID is not provided, physical PRAN kit will be sent.		
		Fathers Name, Mother's Name	(a) If the name has more than 30 digits, fill Annexure II for the same. (b) If the applicant is an Orphan, he/she may leave the fields blank. However, an official document to support the status to be submitted.		
		Politically Exposed Person	Politically Exposed Person's (PEPs) are individuals who are or have been entrusted with prominent public functions such as heads of state or of the government, senior politicians, senior government, judicial or military officials, senior executives of state-owned corporations, important political party officials.		
2	2	Proof of Identity and Address	If the applicant is submitting Aadhaar as proof of Identity and Address, the first 8 digits of the Aadhaar number should be redacted / masked on the submitted copy.		
3	5	Bank Details	For Tier I & Tier II account, bank details and documentary proof are mandatory. Please submit a cancelled cheque / copy of bank passbook / bank statement / bank certificate / letter from Bank containing applicant's Name, Bank Name, Bank Account Number and IFS Code.		
4	6	Nomination Details	(a) If a subscriber has family at the time of making a nomination, the nomination shall be in favor of one or more persons belonging to his/her family. Any nomination made in favour of a person not belonging to family shall be invalid; A fresh nomination shall be made by the subscriber upon marriage and any nomination made before such marriage shall deemed to be invalid; If at the time of making a nomination the subscriber has no family, the nomination may be in favour of any person or persons but if the subscriber subsequently acquires a family, such nomination shall forthwith be deemed to be invalid and the subscriber shall make a fresh nomination in favour on one or more persons belonging to his family. (b) In case of more than one nominee, the percentage share for each nominee should be in whole numbers and must be equal to 100. (c) Please refer Nomination relationship matrix provided below.		
5	7	Selection of Pension Fund (PF) & Investment Choice	Corporate applicants may exercise these choices if the option is extended to them by the employer or else may be ignored.		
6	9	FATCA & CRS Declaration	Clarification / Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India: • Jurisdiction(s) of Tax Residence : Since US taxes the global income of its citizen, every US citizen of whatever nationality, is also a resident for tax purpose in USA. • Tax identification Number (TIN) : TIN need not be reported if it has not been issued by the jurisdiction. However, if the said jurisdiction has issued a high integrity number with an equivalent level of identification (a "Functional equivalent"), the same may be reported. Examples of that type of number for individual include, a social security/insurance number, citizen/personal identification/services code/number and resident registration number). • In case applicant is declaring US person status as 'No' but his/her Country of Birth is US, document evidencing Relinquishment of Citizenship should be provided or reasons for not having relinquishment certificate is to be provided. • In case applicant is declaring US person status as 'Yes', provide PAN and 'father name' in addition to details required under section 9 of form.		
7	8	Tier-II activation	Asset Class A is not available under Tier-II. In case Subscriber has selected to activate Tier-II Account with Same Bank, Nominee and Investment details that of Tier-I where as he/she has chosen allocation in Asset Class A for Tier-I account, the applicant would be required to submit the Annexure IV for Tier-II mentioning the asset allocations.		
8	9 & 10	Declaration / Signature by Applicant	In case the applicant is unable to affix signature, Left Thumb Impression in case of male and Right Thumb Impression in case of female should be affixed and in case there is no hands, toe impression of the applicant to be provided. The thumb / toe impression should be attested by two persons, one of whom should be the authorised official of PoP attesting the same under his/her official seal and stamp.		

Applicable CRA Charges (Excluding taxes)	Protean CRA
Account Opening Charges	Please refer sr. no. 1 above
Account Maintenance Charges (p.a.)	₹ 69
Charge per transaction	₹ 3.75

For more details on CRA charges, please refer
NPS Trust website (www.npstrust.org.in)

Nomination Relationship Matrix (Please mention relationship as per details given below)			
Marital Status	Male	Female	Transgender
Unmarried	1. Mother 2. Father 3. Please specify the relationship if any other person	1. Mother 2. Father 3. Please specify the relationship if any other person	1. Mother 2. Father 3. Please specify the relationship if any other person
Married	1. Spouse 2. Son 3. Daughter 4. Mother 5. Father 6. Daughter in Law 7. Grandson 8. Granddaughter	1. Spouse 2. Son 3. Daughter 4. Mother 5. Father 6. Mother in Law 7. Father in Law 8. Daughter in Law 9. Grandson 10. Granddaughter	1. Spouse 2. Son 3. Daughter 4. Mother 5. Father 6. Mother in Law 7. Father in Law 8. Daughter in Law 9. Grandson 10. Granddaughter
Widow/ Widower	1. Son 2. Daughter 3. Mother 4. Father 5. Daughter in Law 6. Grandson 7. Granddaughter	1. Son 2. Daughter 3. Mother 4. Father 5. Mother in Law 6. Father in Law 7. Daughter in Law 8. Grandson 9. Granddaughter	1. Son 2. Daughter 3. Mother 4. Father 5. Mother in Law 6. Father in Law 7. Daughter in Law 8. Grandson 9. Granddaughter
Divorcee	1. Son 2. Daughter 3. Mother 4. Father 5. Daughter in Law 6. Grandson 7. Granddaughter	1. Son 2. Daughter 3. Mother 4. Father 5. Mother in Law 6. Father in Law 7. Daughter in Law 8. Grandson 9. Granddaughter	1. Son 2. Daughter 3. Mother 4. Father 5. Mother in Law 6. Father in Law 7. Daughter in Law 8. Grandson 9. Granddaughter

General Information for Subscribers

- a) The Subscriber can obtain the status of his/her application from CRA and respective Point of Presence (PoP).
- b) Subscribers are advised to retain the acknowledgement slip signed/ stamped by the designated nodal officer where they submit the application.
- c) For more information / clarifications, contact CRA:

Website: <https://www.npskra.ndsl.co.in>
 Call: 022-4090 4242
 Address: Central Recordkeeping Agency (CRA)
 Protean eGov Technologies Limited
 (formerly NSDL e-Governance Infrastructure Limited)
 1st Floor, Times Tower, Kamala Mills Compound, Senapati Bapat Marg,
 Lower Parel (W), Mumbai - 400013

Annexures - Subscriber Registration Form for Private Sector applicants (Tick and fill applicable annexures below)

☐ Annexure I - Print PRAN Card in Hindi (Fill the details in Devnagri script)

Applicant's First Name	
Middle Name	
Last Name	
Father / Mother's First Name	
Middle Name	
Last Name	

☐ Annexure II - If characters of name exceeded the space provided on page 1 of the application form

Applicant's First Name	
Middle Name	
Last Name	
Father's First Name	
Middle Name	
Last Name	
Mother's First Name	
Middle Name	
Last Name	

☐ Annexure III - Additional Nomination ☐ For Tier - I ☐ For Tier - II ☐ For both Tier - I & Tier - II

Percentage Share	Nominee I	Nominee II	Nominee III	Total should be equal to 100%
Nominee I	Nominee I - Name	F i r s t	M i d d l e	L a s t
	Relationship		Age	Date of Birth (in case of Minor)
				D D / M M / Y Y Y Y
	Name of Guardian (if nominee is a minor)	F i r s t	M i d d l e	L a s t
Nominee II	Nominee II - Name	F i r s t	M i d d l e	L a s t
	Relationship		Age	Date of Birth (in case of Minor)
				D D / M M / Y Y Y Y
	Name of Guardian (if nominee is a minor)	F i r s t	M i d d l e	L a s t
Nominee III	Nominee III - Name	F i r s t	M i d d l e	L a s t
	Relationship		Age	Date of Birth (in case of Minor)
				D D / M M / Y Y Y Y
	Name of Guardian (if nominee is a minor)	F i r s t	M i d d l e	L a s t

☐ Annexure IV - Activate Tier-II (with Different Bank/Nomination/Investment Details - tick and fill as applicable)

PAN* copy of PAN to be attached

☐ No change in Bank details ☐ Bank details for Tier-II are as under:

Account Type	☐ Saving A/c	☐ Current A/c
Bank A/c Number		
Bank Name	IFC Code	

☐ No change in Nominee details ☐ Nominee details for Tier-II are as under:

Nominee - Name	F i r s t	M i d d l e	L a s t
Relationship		Age	Date of Birth (in case of Minor)
			D D / M M / Y Y Y Y
Name of Guardian (if nominee is a minor)	F i r s t	M i d d l e	L a s t

In case you desire to nominate more than one person, fill Annexure III above

☐ No change in Investments details ☐ Investments details for Tier-II are as under:

Pension Fund* (Please Tick (√) one)	Investment Choice (Please Tick (√) one)			
☐ Aditya Birla Sunlife Pension Mgmt Ltd	☐ Axis Pension Fund Management Limited	☐ Active Choice mention the % share in applicable asset class below		
☐ HDFC Pension Mgmt Co Ltd	☐ ICICI Prudential Pension Funds Mgmt Co Ltd	E (upto 100%)	C (Upto 100%)	G (Upto 100%)
☐ Kotak Mahindra Pension Fund Ltd	☐ LIC Pension Fund Limited	% Equity	% Corp Bonds	% Govt. Sec.
☐ Max Life Pension Fund Mgmt Ltd	☐ SBI Pension Funds Private Limited	Total		
☐ TATA Pension Mgmt Ltd	☐ UTI Retirement Solutions Limited	100%		
		OR		
		☐ Auto Choice Select one life cycle fund below		
		Conservative (LC25)	Moderate (LC50)	Aggressive (LC75)

Name of the Applicant		Signature / Thumb Impression* of Applicant (refer instructions)
Place		
Date	D / D / M / M / Y / Y / Y / Y	